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Gp/2161 9-17-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Not Yet Assigned
G.A. Jaquette	)	
Serial No.: 09/977,159	)	
Filed: October 11, 2001	)	Art Unit: 2161
For: METHOD, SYSTEM, AND	)	
PROGRAM FOR SECURELY	)	
PROVIDING KEYS TO ENCODE	)	
AND DECODE DATA IN A	)	
STORAGE CARTRIDGE	)	

Assistant Commissioner of Patents
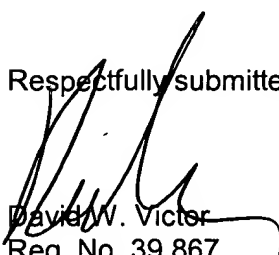Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.
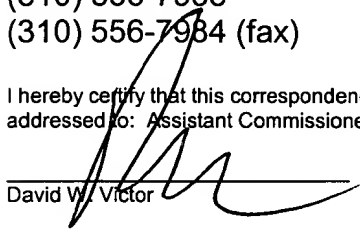
Respectfully submitted,

David W. Victor
Reg. No. 39,867   Dated: September 6, 2002
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on September 6, 2002.

_____   9/6/02
David W. Victor   (Date)

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

D ck t Number	Application Number
TUC920010022US1	09/977,159

Applicant	
G.A. Jaquette	

Filing Dat	Group Art Unit
October 11, 2001	2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	R	e.	3	6	1	8	1	04/06/99	Koopman, Jr. et al.			
	4	7	9	9	0	6	1	01/17/89	Abraham et al.			
	5	2	8	5	4	9	7	02/08/94	Thatcher, Jr.			
	5	3	1	9	7	1	0	06/07/94	Atalla et al.			
	5	3	2	1	7	4	9	06/14/94	Virga			
	5	3	9	8	2	8	3	03/14/95	Virga			
	5	4	1	6	8	4	1	05/16/95	Merrick			
	5	4	7	9	5	1	2	12/26/95	Weiss			
	5	6	4	2	4	2	1	06/24/97	Gray et al.			
	5	7	1	9	9	3	8	02/17/98	Haas et al.			
	5	8	0	5	7	0	0	09/08/98	Nardone et al.			
	5	8	0	9	1	4	5	09/15/98	Slik et al.			
	5	9	1	5	0	2	1	06/22/99	Herlin et al.			
	5	9	5	6	4	0	7	09/21/99	Slavin			
	5	9	6	3	6	4	2	10/05/99	Goldstein			
	5	9	7	4	1	4	4	10/26/99	Brandman			
	5	9	9	1	4	0	3	11/23/99	Aucsmith et al.			
	6	1	0	4	5	6	1	08/15/00	Braithwaite et al.			
	6	2	1	8	9	7	0	04/17/01	Jaquette			

FOREIGN PATENT DOCUMENTS

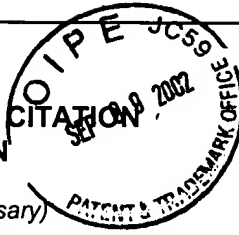|	DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO
		9	2	4	8	9	5	06/23/99	EP			Abstract
	9	8	4	8	3	8	9	10/29/98	PCT			Abstract

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	U.S.Patent Application Serial No. 09/977,161, filed on October 11, 2001, entitled, "Method, System, and Program, for Encoding Decoding Input Data", invented by G.A. Jaquette.
	Microsoft Corp., "Encrypting File System for Windows 2000." Copyright 1998 Microsoft Corporation
	IBM, Corp., "A Fast Hardware Data Compression Algorithm and Some Algorithmic Extensions", Journal of Research and Development, Vol. 42, No. 6, 1998, pp. 1-13.
	Lewis, Harry A. and Larry Denenberg. "Lempel-Ziv Encoding." in: *Data Structures & Their Algorithms*. Harper Collins Publishers 1991.
	Quarter-Inch Cartridge Drive Standards, Inc., "Adaptive Lossless Data Compression (ALDC)", QIC-154, Revision A, 10 Mar 94.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION *(Use several sheets if necessary)*	Dock t Number TUC920010022US1	Applicati n Number 09/977,159
	Applicant G.A. Jaquette	
	Filing Date October 11, 2001	Gr up Art Unit 2161

	ECMA Standardizing Information and Communication System, "Streaming Lossless Data Compression Algorithm - (SLDC), Se(Draft, Dec 2000.	
EXAMINER	**DATE CONSIDERED**	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conform and not considered. Include copy of this form with next communication to the applicant.